What are the benefits of a Compliance Program?

- ☑ Identify and reduce significant business risks

- ☑ Asses internal processes and increase their efficiency

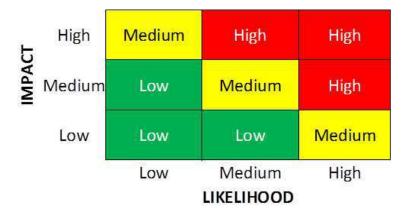- ☑ Generate acknowledgement, engagement and responsibility among employees

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How do I know that I have the right Compliance Program?

☑ By doing Risk Assessment

☑ Results of Risk Assessment should answer the following:
- ☑ WHAT?
- ☑ WHY?
- ☑ WHO?
- ☑ WHEN?

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How do I evaluate the efficiency of my Compliance Program?

✅ By having in place proper KPIs

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General Compliance

- Number of Compliance Related Issues (Resolved vs. Opened)
- Average Compliance Investigation Cycle Time by Type (Done vs. Planned)
- Internal Audits (Done vs. Planned)
- Time of response to reported cases (as per SLA agreed)
- Number of litigations for compliance related matters (as per SLA agreed)

Employees

- Number of Employee Relations Issues (Resolved vs. Opened)
- Number of Trainings on Compliance Matters (Done vs. Planned)
- Number of Communications on Compliance Matters (Done vs. Planned)
- Number of Employees that signed the Code of Conduct (Done vs. Planned)
- Compliance Organizational Structure (As Is vs. Approved Organigram)

Risks

- Number of critical risks included in the annual compliance plan (Done vs. Planned)
- Number of Breaches (as per SLA agreed)
- Percentage of reviewed policies and procedures (Done vs. Planned)
- Cost vs. Budget

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Thank You

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